

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No. .... 09/360,292  
Filing Date ..... July 22, 1999  
Inventor ..... Sujit Sharan et al.  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 1746  
Examiner ..... Shamim Ahmed  
Attorney's Docket No. .... MI22-1106  
Title: Plasma Etching Process

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art.

This Supplemental Information Disclosure Statement is being filed after the filing of the Request for Continued Examination (RCE) Application and before receipt of the first Office Action. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Supplemental Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925. Please credit Deposit Account No. 23-0925 with any overpayment of the above fee.

Respectfully submitted,

Dated: 12/15/2003

By: Jennifer J. Taylor  
Jennifer J. Taylor, Ph.D.  
Reg. No. 48,711

Adjustment date: 01/29/2004 SDIRETA1  
01/13/2004 VRAUGBURN 00000001 230925 09360292  
01 FC:1806 180.00 CR

s:MI221106VD9.wpd

**EL 97 995 03 92**

Practitioner's D cket No. MI22-1106

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sujit Sharan et al.

Application No.: 09/360,292 Group No.: 1746  
Filed: July 22, 1999 Examiner: Shamim Ahmed  
For: "Plasma Etching Process"

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

## I. RETURN OF REFUND REQUEST

This is a request for a refund, with respect to the debit to Deposit Account 23-0925, shown on the statement dated January 13, 2004 for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.  
A copy of the Ex Post Declaration of Express Mailing, dated December 15, 2003, accompanies this request.

A copy of the Supplemental Information Disclosure Statement dated December 15, 2003, accompanies this request including a copy of the 1449 Form.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☐ Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

## FACSIMILE

- ☒ transmitted by facsimile to the Patent and Trademark Office. 703-308-6778

  
Signature

Rhonda G. Rambo

(type or print name of person certifying)

Date: 20 January 2004

(Request for Debit (Improper Credit of Deposit Account)—page 1 of 2)

6 Pages total

**II. FEES CHARGED FOR WHICH REFUND IS REQUESTED****AMOUNT OF  
REFUND  
REQUESTED**

Improper charge for Supplemental Information Disclosure Statement \$180.00

**TOTAL REFUND REQUESTED**

\$180.00

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

The Supplemental Information Disclosure Statement was filed before the mailing of a first Office Action following the filing of a Request for Continued Examination. Therefore, no fee is believed to be required per 37 C.F.R. § 1.97(b)(4).

Please credit Deposit Account No. 23-0925 with the above fee amount.

**IV. MANNER OF REFUND**

Please Credit Account No. 23-0925.

Date

January 20, 2004

Jennifer J. Taylor  
Jennifer J. Taylor, Ph.D.

Reg. No. 48,711

Wells St. John P.S.

601 West First Ave., Suite 1300

Spokane, WA 99201-3828

Tel. No.: (509) 624-4276

Customer No.: 021567



**Unit d States  
Patent and  
Trademark Office**

Return To:

USPTO  
Home  
PageFinance  
Home  
Page

**Deposit Account Statement**

**Requested Statement Month:** January 2004  
**Deposit Account Number:** 230925  
**Name:** WELLS ST JOHN PS  
**Attention:** RHONDA RAMBO  
**Address:** 601 WEST FIRST AVENUE SUITE 1300  
**City:** SPOKANE  
**State:** WA  
**Zip:** 99201-3817

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/05	3	09850951	005805/CSM/C	1251	-\$110.00	\$11,857.00
01/06	49	10466718		9204	-\$15.00	\$11,872.00
01/06	64	09887049	MI22-1738	1201	\$43.00	\$11,829.00
01/07	386	0978358	AM11-101	7205	\$100.00	\$11,729.00
01/07	387	0978358	AM11-101	7201	\$400.00	\$11,329.00
01/08	56	09814260	MI22-1663	1806	\$180.00	\$11,149.00
01/08	135	10047198	PY2-023	1201	\$2.00	\$11,147.00
01/08	145	09890485	MA83-001	2202	\$36.00	\$11,111.00
01/08	146	09890485	MA83-001	2201	\$43.00	\$11,068.00
01/09	27	SUBSCRIPTION		8001	\$3.00	\$11,065.00
01/13	1	09360292	MI22-1106	1806	\$180.00	\$10,885.00
01/13	297	PCT/US04/00403 BR27-008		1602	\$700.00	\$10,185.00
01/13	303	PCT/US04/00404 YA3-004		1602	\$700.00	\$9,485.00
01/13	1078	78351148	KE2-148	7001	\$335.00	\$9,150.00
01/14	1	09608709	30-5031-4016	1801	\$770.00	\$8,380.00
01/14	12	PAYMENT		9203	-\$6,000.00	\$14,380.00
01/14	22	10754872	YA3-003	1202	\$196.00	\$14,184.00
01/14	45	78351271	YO13-101	7001	\$335.00	\$13,849.00
01/15	307	76371491	PO4-1173	7004	\$150.00	\$13,699.00
01/15	405	78186708	PO4-1186	7004	\$150.00	\$13,549.00
01/15	417	78186732	PO4-1187	7004	\$150.00	\$13,399.00
01/15	444	78186877	PO4-1188	7004	\$150.00	\$13,249.00
01/16	108	09798404	MI22-1641	1801	\$20.00	\$13,229.00
01/20	1	78217270		6001	\$335.00	\$12,894.00
01/20	352	2102817	TW3-105	7205	\$100.00	\$12,794.00
01/20	353	2102817	TW3-105	7208	\$200.00	\$12,594.00
01/20	354	2102817	TW3-105	7206	\$100.00	\$12,494.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$11,747.00	\$5,378.00	\$6,125.00	\$12,494.00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION SERIAL NO. .... 09/360,292  
 FILING DATE .... July 22, 1999  
 INVENTORSHIP .... Sujit Sharan et al.  
 ASSIGNEE .... Micron Technology, Inc.  
 GROUP ART UNIT .... 1746  
 EXAMINER .... Shamim Ahmed  
 ATTORNEY'S DOCKET NO. .... MI22-1106  
 TITLE: Plasma Etching Process

**EX POST DECLARATION OF EXPRESS MAILING**

I hereby declare that I have deposited the below-listed papers with the United States Postal Service "Express Mail Post Office to Addressee" service, addressed and posted as indicated below, in compliance with 37 CFR 1.10. The Express Mail label No. has been placed on one or more of the papers listed.

Express Mail Number: EL979950392US

Date of Deposit: 12/15/03

Time of Deposit: 4:40 PM

Deposited By: ☒ Delivery to Postal Employee  
☐ Placed in Drop Box

Addressee: Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**Place of Deposit:**

☐ U.S. Post Office (Paulsen Bldg. Br.)  
 421 West Riverside, Room 201  
 Spokane, Washington 99201  
 Last Scheduled Drop Box Pickup: 4:40 pm

☒ U.S. Post Office (Riverside Station)  
 904 West Riverside  
 Spokane, Washington 99201  
 Last Scheduled Drop Box Pickup: 5:00 pm

☐ U.S. Post Office (DIST. CTR.)  
 2928 S. Spotted Road  
 Spokane, Washington 99202  
 Last Scheduled Drop Box Pickup: 9:00 pm

☐ U.S. Post Office \_\_\_\_\_ Branch  
 \_\_\_\_\_  
 Last Scheduled Drop Box Pickup: \_\_\_\_\_ am/pm

**Listing Of Items Enclosed In Mailing:**

1. Return Receipt Postcard
2. Transmittal Form (PTO/SB/21)
3. Supplemental Information Disclosure Statement w/PTO-1449 and copy of cited references

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued therefrom.

Dated at Spokane, Washington this 15th day of December, 2003.

*Trinity Colon*  
 Printed Name: Trinity Colon

**COPY**